University of Illinois at Chicago (UIC)

Guidance on Making Disclosures on the Report of Non-University Activities (RNUA) for non-University Activities, Affiliations and Appointments October 15, 2019

This list below is not all-inclusive and is meant to serve as guidance.

If you have questions, contact the COI Office at COI@uic.edu.

COI Director, Jacquelyn Jancius, (312) 996-4070 or jancius@uic.edu

COI Coordinator, Scott MacEwen (312) 996-3642 or smacewen@uic.edu

The Conflict of Commitment and Interest Policy: https://research.uic.edu/compliance/coi/policies-regulations/

RNUA FAQs: https://go.uic.edu/coiRNUAFAQ

Activities that Academic Staff are not Non-University Activities Academic Staff are required to include on RNUA required to include on RNUA Appointments¹ and Affiliations (whether paid or unpaid) (applies to all foreign and domestic) Universities other than UI System² UI System Appointments approved by the University of Illinois Board of Trustees Hospitals other than UI Health • Contracted agreements through the **Governments and Government Agencies** Veteran Affairs (VA) University of Illinois and approved by the University Board of Trustees Company or other External Boards Secondary appointments in the UI including Scientific Advisory Boards. System Foundations Talent or recruitment programs, including those affiliated with Universities outside the UI System Talent or recruitment programs under foreign governments Recurring Teaching, Instruction or Extension, and Paid Coaching (applies to all foreign and domestic) Universities other than UI System **UI System Activities** Hospitals other than UI Health Activities when the occur as part of your UI Appointment or Affiliation Governments Activities covered by contracts approved Companies Organizations / Not-For-Profits by the University of Illinois Board of Trustees Schools

¹ Including honorary appointments

² UI System includes the University of Illinois at Chicago, University of Illinois at Urbana Champaign, University of Illinois at Springfield and UI Health.

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Non-University Activities Academic Activities that Academic Staff are not Staff are required to include on RNUA required to include on RNUA Non-University Activities During Sabbatical or Non-Exempt Leave³ (applies to all foreign and domestic) Activities supported by non-University Activities supported by funds that are funds that are not managed through the managed through the University and University and not approved by the approved by the University of Illinois University of Illinois Board of Trustees **Board of Trustees** Activities that are outside the scope of the approved sabbatical or leave activities

³ The COCI Policy specifies leave that is eligible for temporary release from Reporting non-University Activities. COCI Policy, Section VII. A. xii.

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Non-University Activities Academic Staff are required to include on RNUA

Activities that Academic Staff are <u>not</u> required to include on RNUA

Research⁴

(applies to all foreign and domestic)

- Publications not citing UI System affiliation
- Abstracts not citing UI System affiliation
- Proposals not processed through UIC Office of Vice Chancellor for Research (OVCR)
- Awards not processed through UIC OVCR or approved by the University Board of Trustees
- Agreements not processed through UIC OVCR and approved by the Board of Trustees
- Reports, including progress reports, not citing UI System affiliation
- Confidential agreements or nondisclosure agreements not approved by UIC OVCR and not approved by University Board of Trustees
- Project design, management, implementation or analysis for organizations outside UI System and not approved through OVCR and not approved by University Board of Trustees
- Directing or operating a research lab or project outside of the UI System when there is no contract between the outside organization and the University of Illinois approved by the University Board of Trustees
- Receiving financial support or any form of in-kind support to conduct research or obtain research data from outside of the UI System without a contract that is approved by the University Board of Trustees

- Publications citing UI System affiliation
- Abstracts citing UI System affiliation
- Proposals processed through UIC OVCR
- Awards processed through UIC OVCR and approved by University Board of Trustees
- Agreements processed through UIC OVCR and approved by University Board of Trustees
- Confidentiality agreements or nondisclosure agreements approved by UIC OVCR and approved by University Board of Trustees
- Research conducted under a sub-award agreement from the University of Illinois processes through the OVCR and approved by the University Board of Trustees

⁴Including, but not limited to, that are conducted for or at other Universities, hospitals (not UI Health), governments, VA, companies, not-for-profits, organizations, schools,

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Non-University Activities Academic Staff are required to include on RNUA	Activities that Academic Staff are <u>not</u> required to include on RNUA
Staff are required to include on RNUA Activities citing appointments or affiliations outside the UI System that are not previously disclosed. Research consultancy not processed through UIC OVCR and approved by the University Board of Trustees.	required to include on RNUA

RNUA FAQs: https://go.uic.edu/coiRNUAFAQ

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Non-University Activities Academic Activities that Academic Staff are not Staff are required to include on RNUA required to include on RNUA Income-producing activities (regardless of profitability; applies to all foreign and domestic) Consulting **University Retirement Accounts** Retirement accounts including IRA, 401K, **Expert Witness** • Employment with a company or 403b organization College saving accounts including 529 • Employment with a government **Health Savings accounts** organization Investments that do not relate to • Full-time or part-time employment University responsibilities outside of UI System Company talks • Product endorsements Rental property Farm Investments that relate to University responsibilities **Intellectual Property** (applies to all foreign and domestic) Professional interactions⁵ with Professional interactions with organizations optioning or licensing organizations organized by the University technology or intellectual University Office of Technology property that is not organized by the Management (OTM) University Office of Technology • UI System OTM Disclosures Management (OTM) • UI System OTM managed patents and Patenting or licensing intellectual other intellectual property property not disclosed to, or managed by, UI System **Elected or Appointed Positions** (applies to all foreign and domestic) Government positions Positions in the UI System Private organizations Board of Directors of organizations not included in UI System appointment or affiliation

⁵ Interactions, whether compensated or not, include but are not limited to time spent having discussions, planning, marketing, seeking venture capital, developing research projects, analyzing data, making reports.

Scientific Advisor of organizations outside the UI System appointment or affiliation

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Recommendation: Academic Staff are encouraged to include the following activities on the RNUA	
International service on editorial boards	
International service on review panels	
International speaking events	
International sponsored or reimbursed travel	